Application Da	ta Sheet 37 CER 1 76	Attorney Docket Number	453-US-PCT
Application Data Sheet 37 CFR 1.76		Application Number	10/568,572
Title of Invention		LTS OF [TRANS-4-((1R,3S)-6- D THE USE AS A MEDICAMEN	CHLORO-3-PHENYLINDAN-1-YL)]-1,2,2- NT
	eet is part of the provisional or nonp ged in a format specified by the Uni		being submitted. The following form contains the ffice as outlined in 37 CFR 1.76.

The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76. This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.

Secrecy Order 37 C	FR 5.2						
						fall under a Secrecy Order pur not be filed electronically.)	suant to
Applicant Informati	on:						
Applicant 1							
Applicant Authority   Inv	rentor CLeg	gal Representativ	e under 35 U	J.S.C. 11	7	OParty of Interest under 35 U.S.	C. 118
Prefix Given Name		Middle Na	me		Fam	ily Name	Suffix
Heidi					Lope	z de Diego	
Residence Information (S	Select One)	US Residenc	y 💿 No	n US Res	sidency	Active US Military Service	;
City Naerum		Country Of Re	sidence	DK			
Citizenship under 37 CFR	₹ 1.41(b)	DK					
Mailing Address of Applic	cant:						
Address 1 H	laelderne 5						
Address 2							
City Naerum			State	e/Provin	ce		
Postal Code D	K-2850		Country	DK			
Applicant 2				•			
Applicant Authority Olnv	rentor CLeç	gal Representativ	e under 35 U	J.S.C. 11	7	OParty of Interest under 35 U.S.	C. 118
Prefix Given Name	· '	Middle Na	me		Fam	ily Name	Suffix
Ole					Nielse	en	
Residence Information (S	Select One)	US Residenc	y 💿 No	n US Res	sidency	Active US Military Service	)
City Valby		Country Of Re	sidence	DK			
Citizenship under 37 CFR	₹ 1.41(b)	DK	•				
Mailing Address of Applic	cant:						
Address 1 N	lystedvej 19						
Address 2							
City Valby			State	e/Provin	ce		
Postal Code D	K-2500		Country	DK			
Applicant 3				•			
Applicant Authority Olnv	rentor CLeç	gal Representativ	e under 35 U	J.S.C. 11	7	OParty of Interest under 35 U.S.	C. 118
Prefix Given Name	'	Middle Na	me		Fam	ily Name	Suffix
Lone					Mund	ch Ringgard	
Residence Information (S	Select One)	US Residenc	y 💿 No	n US Res	sidency	Active US Military Service	;
City Roskilde		Country Of Re	sidence	DK			

Application Da	ta Sheet 37 CFR 1.76	Attorney Docket Number	453-US-PCT		
Application ba		Application Number	10/568,572		
Title of Invention	HYDROGEN SUCCINATE SALTS OF [TRANS-4-((1R,3S)-6-CHLORO-3-PHENYLINDAN-1-YL)]-1,2,2-TRIMETHYLPIPERAZINE AND THE USE AS A MEDICAMENT				

Citizer	Citizenship under 37 CFR 1.41(b) DK									
	g Address of Ap									
Addre	<u> </u>	Laerkevej 1	4							
Addre	ss 2									
City	Roskilde					State	e/Provir	ıce		
Postal	Code	DK-4000			Cour	ntry	DK			
Applic	ant 4									
	ant Authority 🧿	Inventor (	)Legal	I Representativ	e unde	r 35 L	J.S.C. 11	7	Party of Interest under 35 U.S.	C. 118
Prefix		<u>'</u>		Middle Na	me			Family	y Name	Suffix
	Henrik							Svane		
Resid	ence Informatio	n (Select Or	ie) C	) US Residenc	у	) No	n US Res	sidency	Active US Military Service	
City	Virum		C	ountry Of Re	siden	cei	DK			
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Mailin	g Address of Ap	plicant:	<u>'</u>							
Addre	ss 1	Skodsborgv	ej 12							
Addre	ss 2									
City	Virum					State	e/Provir	ice		
Postal	Code	DK-2830			Cour	ntry DK				
Applic	ant 5									
	ant Authority 🧿	)Inventor (	)Legal	I Representativ	e unde	r 35 L	J.S.C. 11	7	Party of Interest under 35 U.S.	C. 118
Prefix		· · · · · · · · · · · · · · · · · · ·		Middle Na	me			Family	y Name	Suffix
	Allan			Carsten				Dahl		
Resid	ence Informatio	n (Select Or	ie) (	) US Residency    Non US Residency    Active US Military Service						
City	Nyrup		C	ountry Of Re	siden	cei	DK			
Citizer	nship under 37 (	FR 1.41(b)	Dł	Κ						
Mailing Address of Applicant:										
Walling	g Address of Ap	plicant:								
Addre	<u> </u>	plicant: Vielsedvej 8	, Vielst	ed						
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Addre	ss 1	i	, Vielst	ed		State	e/Provir	nce		
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Addre City Postal Applic Applic Prefix	ss 1 ss 2 Nyrup Code cant 6 cant Authority Given Name Mark	Vielsedvej 8  DK-4296  Inventor	)Legal	I Representativ	re unde <b>me</b>	r 35 L	DK J.S.C. 11	7 C	y Name	Suffix

Application Da	ta Sheet 37 CFR 1.76	Attorney Docket Number	453-US-PCT		
Application ba	ta Sheet 37 Of K 1.70	Application Number	10/568,572		
Title of Invention	HYDROGEN SUCCINATE SALTS OF [TRANS-4-((1R,3S)-6-CHLORO-3-PHENYLINDAN-1-YL)]-1,2,2-TRIMETHYLPIPERAZINE AND THE USE AS A MEDICAMENT				

Mailin	g Ad	dress of Ap	plicant:										
Addre	ss 1		Ulvemos	sevej, 1	В								
Addre	ss 2												
City		Havdrup		State/P				e/Provin	nce				
Postal	Cod	le	DK-4622	2			Cou	ıntry	DK		•		
Applic	ant 7	7											
Applic	ant A	Authority 🗨	Inventor	OL	egal	Representative	e unde	er 35 L	J.S.C. 11	7	OParty of Ir	nterest under 35 U.S.	C. 118
Prefix		en Name				Middle Nar	ne			Fam	ily Name		Suffix
	Ben	nny								Bang	-Andersen		
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Citizer	nship	under 37 C	FR 1.41(	b)	DK	(							
Mailin	g Ad	dress of Ap	plicant:	•									
Addre	ss 1		Lillegrun	d 33									
Addre	ss 2												
City		Copenhagen	S					State	e/Provir	nce			
Postal	Cod	le	DK-2300	)			Cou	ıntry	DK		•		
Applic	ant 8	3											
Applic	ant A	Authority	Inventor	OL	egal	Representative	e unde	er 35 L	J.S.C. 11	7	OParty of Ir	nterest under 35 U.S.	C. 118
Applic Prefix		Authority <b>©</b> ven Name	Inventor	OL	egal	Representative		er 35 L	J.S.C. 11		OParty of Ir	nterest under 35 U.S.	C. 118
		en Name	Inventor	OL	egal	-		er 35 L	J.S.C. 11		ily Name	nterest under 35 U.S.	1
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Application Data Sheet 37 CFR 1.76			Attorney Docket Number			umber	453-US-PCT		
Application Buta office of GTR 1.70		Application Number			er	10/568,572			
Title of Invention HYDROGEN SUCCINATE SALTS OF [TRANS-4-((1R,3S)-6-CHLORO-3-PHENYLINDAN-1-YL)]-1,2,2-TRIMETHYLPIPERAZINE AND THE USE AS A MEDICAMENT								)-3-PHENYLINDAN-1-YL)]-1,2,2-	
Mailing Address	s of App	olicant:							
Address 1		32 Chambelain Road							
Address 2									
City Flem	ington				Stat	e/Provir	nce	NJ	
Postal Code		08822		Cou	ntry	US			
		Listed - Additional Ir n by selecting the <b>Add</b>		nform	ation	blocks	may be	Add	
Correspond	ence	Information:							
		Number or complete see 37 CFR 1.33(a).	the Corr	espoi	ndend	e Inforn	nation s	ection below.	
☐ An Addres	s is bei	ng provided for the co	orrespor	ndenc	e Info	rmation	of this	application.	
Customer Num	ber	45821							
Email Address		Lu-USAR_patents@l	undbeck.	com				Add Email Remove Email	
Application	Inforr	mation:							
Title of the Inve	ntion	HYDROGEN SUCCI YL)]-1,2,2-TRIMETH						CHLORO-3-PHENYLINDAN-1-	
Attorney Docke	t Numb		11211121	V V211 VL				us Claimed	
Application Typ	е	Nonprovisional							
Subject Matter		Utility							
Suggested Clas	s (if an	<b>y)</b> 514			S	ub Clas	s (if any	030	
Suggested Tecl	hnology	Center (if any)	1624					•	
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Publication	Info	mation:			·				
Request Ea	ırly Publ	ication (Fee required a	t time of	Reque	est 37	CFR 1.2	219)		
C. 122(b) an applicati	Request Early Publication (Fee required at time of Request 37 CFR 1.219)  Request Not to Publish. I hereby request that the attached application not be published under 35 U.S.  C. 122(b) and certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.								
Representative Information:									
this information in Enter either C	the Appli Sustomer	cation Data Sheet does n	ot constituete the	ute a p Rep	ower o	of attorney tative N	/ in the aր lame s	attorney in the application. Providing oplication (see 37 CFR 1.32). ection below. If both sections rocessing.	
Please Select O	ne:	Customer Number	·   0	US P	atent F	ractitione	er O	Limited Recognition (37 CFR 11.9)	
Customer Numb	er	45821						,	

Application Da	nta Sheet 37 CFR 1.76	Attorney Docket Number	453-US-PCT			
Application ba		Application Number	10/568,572			
Title of Invention		HYDROGEN SUCCINATE SALTS OF [TRANS-4-((1R,3S)-6-CHLORO-3-PHENYLINDAN-1-YL)]-1,2,2-TRIMETHYLPIPERAZINE AND THE USE AS A MEDICAMENT				

### **Domestic Benefit/National Stage Information:**

This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, or 365(c) or indicate National Stage entry from a PCT application. Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78(a)(2) or CFR 1.78(a)(4), and need not otherwise be made part of the specification.

Prior Application Status	Pending		Remove
Application Number	Continuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)
10/568572	a 371 of international	PCT/DK04/00545	2004-08-18
Prior Application Status	Pending		Remove
Application Number	Continuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)
PCT/DK04/00545	Continuation of	60/496058	2003-08-18
Prior Application Status	Pending		Remove
Application Number	Continuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)
PCT/DK04/00545	Continuation of	60/520246	2003-11-14

Additional Domestic Benefit/National Stage Data may be generated within this form by selecting the **Add** button.

## **Foreign Priority Information:**

This section allows for the applicant to claim benefit of foreign priority and to identify any prior foreign application for which priority is not claimed. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55(a).

\ /			
		Re	emove
Application Number	Country <sup>l</sup>	Parent Filing Date (YYYY-MM-DD)	Priority Claimed
PA 2003 01180	DK	2003-08-18	Yes  No
		Re	emove
Application Number	Country <sup>i</sup>	Parent Filing Date (YYYY-MM-DD)	Priority Claimed
PA 2003 01305	DK	2003-09-11	Yes  No
Additional Foreign Priority  Add button.	Data may be generated wit	hin this form by selecting the	

# **Assignee Information:**

Providing this information in the application data sheet does not substitute for compliance with any requirement of part 3 of Title 37 of the CFR to have an assignment recorded in the Office.						
Assignee 1						
If the Assignee is an O	ganization check here.					
Organization Name	H. Lundbeck A/S					

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Da	ta Sheet 37 CFR 1.76	Attorney Docket Number	453-US-PCT
Application ba		Application Number	10/568,572
Title of Invention	l .	ALTS OF [TRANS-4-((1R,3S)-6- ID THE USE AS A MEDICAMEN	CHLORO-3-PHENYLINDAN-1-YL)]-1,2,2- NT

Mailing Address Information:					
Address 1	Ottiliavej 9				
Address 2					
City	Valby-Copenhagen	State/Province			
Country <sup>i</sup> DK		Postal Code	DK-2500		
Phone Number	201-350-0790	Fax Number	201-225-9571		
Email Address	Lu-USAR_Patents@lundbeck.com				
Additional Assignee Data may be generated within this form by selecting the <b>Add</b> button.					

## Signature:

A signature of the applicant or representative is required in accordance with 37 CFR 1.33 and 10.18. Please see 37 CFR 1.4(d) for the form of the signature.							
Signature	/Margaret M. Buck Reg. No. 54,010/		Date (YYYY-MM-DD)	2010-06-22			
First Name	Margaret	Last Name	Buck	Registration Number	54010		

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

#### **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.